

# UTILITY PATENT APPLICATION TRANSMITTAL

## (Larg Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
13796A

Total Pages in this Submission  
4

### TO THE COMMISSIONER FOR PATENTS

Mail Stop Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**METHOD OF FILM DEPOSITION, AND FABRICATION OF STRUCTURES**

and invented by:

**Alessandro Callegari; and  
Deborah Ann Neumayer**

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☐ C ntinuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/676,882

Which is a:

☐ C ntinuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Which is a:

☐ C ntinuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Enclosed are:

### Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 52 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

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**Applicati n El ments (Continued)**

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☒ Formal                      Number of Sheets                      12
- b. ☐ Informal                      Number of Sheets                      \_\_\_\_\_
4. ☒ Oath or Declaration
- a. ☐ Newly executed *(original or copy)*                      ☐ Unexecuted
- b. ☒ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney                      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)
7. ☐ Application Data Sheet (See 37 CFR 1.76)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statement(s) Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

9. ☐ Assignment Papers *(cover sheet & document(s))*
10. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
11. ☐ English Translation Document *(if applicable)*
12. ☒ Information Disclosure Statement/PTO-1449                      ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16. ☒ Certificate of Mailing
- ☐ First Class                      ☒ Express Mail *(Specify Label No.):* EV 185861814 US

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**Accompanying Application Parts (Continued)**

17. ☒ Additional Enclosures *(please identify below)*:

ASSIGNEE NAME: INTERNATIONAL BUSINESS MACHINES CORPORATION  
ASSIGNEE RESIDENCE: ARMONK, NEW YORK

**Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)**

18. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

***Warning***

***An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.***

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**(Large Entity)**

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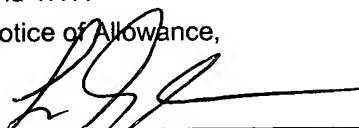
[19] Correspondence Address  
Customer No. 23389

**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

| For  | #Filed | #Allowed | #Extra | Rate      | Fee                         |
|--|--------|----------|--------|-----------|-----------------------------|
| Total Claims   | 26     | - 20 =   | 6      | x \$18.00 | \$108.00                    |
| Indep. Claims  | 6      | - 3 =    | 3      | x \$84.00 | \$252.00                    |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> |        |          |        |           | \$0.00                      |
| BASIC FEE  |        |          |        |           | \$750.00                    |
| OTHER FEE (specify purpose)  |        |          |        |           | \$0.00                      |
| *Fee Calculated as per Preliminary Amendment filed concurrently herewith |        |          |        |           | TOTAL FILING FEE \$1,110.00 |

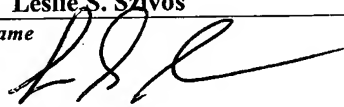
- ☒ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-0510/IBM** as described below.
- ☒ Charge the amount of \_\_\_\_\_ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

  
Signature

Leslie S. Szivos  
Registration No. 39.394

Dated: September 30, 2003

cc: LSS:jy:jf

|  |                         |                        |                                       |  |
|--|-------------------------|------------------------|---------------------------------------|--|
| <b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b><br>Applicant(s): Alessandro C. Callegari, et al.   |                         |                        | Docket No.<br>13796A(YOR920000447US1) |  |
| Serial No.<br>Unassigned   | Filing Date<br>Herewith | Examiner<br>Unassigned | Group Art Unit<br>Unassigned          |  |
| Invention: <b>METHOD OF FILM DEPOSITION, AND FABRICATION OF STRUCTURES</b>   |                         |                        |                                       |  |
| I hereby certify that the following correspondence:<br><div style="border: 1px solid black; padding: 10px; margin: 10px 0;"><b>New U.S. Divisional Patent Application</b></div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p> <p style="text-align: center;"><u>September 30, 2003</u><br/><i>(Date)</i></p> <div style="text-align: right; margin-top: 20px;"><p><u>Leslie S. Szivos</u><br/><i>(Typed or Printed Name)</i></p><p><u></u><br/><i>(Signature of Person)</i></p><p><u>EV185861814US</u><br/><i>("Express Mail" Mailing Label Number)</i></p></div> |                         |                        |                                       |  |
| Note: Each paper must have its own certificate of mailing.   |                         |                        |                                       |  |

**TRANSMITTAL OF FORMAL DRAWINGS**Docket No.  
**YOR920000447US1 (13796A)**In Re Application Of: **Alessandro C. Callegari, et al.**

| Serial No. | Filing Date | Confirmation No. | Examiner | Art Unit |
|------------|-------------|------------------|----------|----------|
| Unknown    | Herewith    | Unknown          | Unknown  | Unknown  |

Invention: **METHOD OF FILM DEPOSITION, AND FABRICATION OF STRUCTURES**Address to:  
**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

Transmitted herewith are:

**12** sheets of formal drawing(s) for this application.☒ Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c).  
*Signature***Leslie S. Szivos  
Registration No. 39,394  
SCULLY, SCOTT, MURPHY & PRESSER  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343**Dated: **September 30, 2003**I certify that this document and attached formal drawings  
are being deposited on **9/30/03** with the  
U.S. Postal Service as first class mail under 37 C.F.R. 1.8  
and addressed to the Commissioner for Patents, P.O. Box  
1450, Alexandria, VA 22313-1450.  
*Signature***Leslie S. Szivos***Typed or Printed Name*